



GUIDELINES:

RISK MANAGEMENT ADVICE

The purpose of these guidelines is to provide NGOs with information on how the RMU can support them with due diligence and risk management advice.

The Risk Management Unit (RMU) is available to work with NGOs to strengthen their due diligence processes, systems and organizational structures with a view to minimizing NGOs' risk exposure.

An NGO can request the RMU to conduct reviews of, and assesses its due diligence processes with a view to identify inherent risks, strengths and weaknesses and advise on areas that require improvement.

RMU adviceⁱ:

To access this advice:

1. Send an email to ngongoenquiries.rmu.so@one.un.org stating the nature of request and attach relevant due diligence documents.
2. You will receive a response acknowledging receipt. Where necessary, you will also receive a request for further clarification.
3. Where the request is clear, the RMU will provide a 3-weeks lead time, within which to provide advice based on the documents provided.
4. The RMU will set up a meeting with you to further discuss the findings and proposal.

Third Party Monitoring:

The RMU is in the process of compiling a database of recommended third party monitors that NGOs can draw upon to build on their capabilities in a cost efficient way.

Details on how to access this service will soon be provided.

ⁱ Note: Implementation of RMUs advice is at the discretion of the requesting NGO. It is the responsibility of the individual NGO to determine a follow up plan to monitor and to evaluate effectiveness of the recommendations and prescription of further action, where necessary.

Any changes, or edits to the information provided or additional resources should be submitted to the Risk Management Unit through ngoenquiries.rmu.so@one.un.org